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\$770 — 101
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Docket No. 42390.P4072
Express Mail No. EM502297228US

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventor(s): Paul C. Greer, et al.

For: USER DEMOGRAPHIC PROFILE DRIVEN ADVERTISING TARGETING

Enclosed are:

- ☒ 3 sheets of Formal Drawing(s) including 3 figures.
- ☒ An Assignment of the invention to: INTEL CORPORATION
- ☒ A Declaration and Power of Attorney.
- ☐ A Verified Statement to establish Small Entity Status under 37 CFR 1.9 and 37 CFR 1.27.
- ☐

The Filing Fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
For:	No. Filed	No. Extra	RATE	FEE	RATE	FEE
Basic Fee:	-	-	-	\$385.00	-	\$770.00
Total Claims:	15	0	\$11.00	\$0.00	\$22.00	\$0.00
Indep. Claims:	4	1	\$40.00	\$0.00	\$80.00	\$80.00
<input type="checkbox"/> Multiple Dep. Claim(s) Presented			\$130.00	\$0.00	\$260.00	\$0.00
*If the difference in (Col. 1) is less than zero, enter "0" in (Col. 2)			Total:	\$0.00	Total:	\$850.00

- ☒ A check in the amount of \$850.00 to cover the filing fee is enclosed.
- ☒ A check for \$40.00 covering Recordation of Assignment is enclosed, along with the Assignment Cover Sheet.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with the this communication or credit any overpayment to our Deposit Account No. 02-2666 . A duplicate copy of this sheet is enclosed.
 - ☒ Any additional filing fees required under 37 CFR 1.16.
 - ☒ Any Patent Application processing fees under 37 CFR 1.16.

- ☒ Any extension or petition fees under 37 CFR §1.17.
- ☒ Any filing fees under 37 CFR §1.16 for presentation of extra claims.

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Kate

Reg. No. 39,630

1. General Information			
Name	Mr. John Doe		
Address	123 Main Street, Apt. 4B, New York, NY 10001		
Phone	(212) 555-1234		
Occupation	Software Engineer		
2. Employment History			
Company	Position	Start Date	End Date
ABC Corp.	Senior Engineer	2018-01-15	2020-03-31
XYZ Inc.	Software Engineer	2015-06-01	2018-01-10
DEF Ltd.	Junior Engineer	2012-09-01	2015-05-31
3. Education			
Institution	Degree	Year Graduated	
University of California, Berkeley	B.S. in Computer Science	2011	
Stanford University	M.S. in Computer Science	2013	
4. Skills			
Category	Skills		
Technical	Python, Java, JavaScript, React, Node.js, SQL, Git		
Soft Skills	Teamwork, Communication, Problem Solving, Leadership		
5. References			
Name	Relationship	Contact Info	
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Mr. David Lee	Former Supervisor	(212) 555-9012	
Mr. Robert Chen	Former Supervisor	(212) 555-3456	
6. Additional Information			
Marital Status	Single		
Number of Children	0		
Current Salary	\$120,000 per year		
Reason for Leaving	Seeking new challenges and growth opportunities.		

Our Ref. No. 42390.P4072

UNITED STATES PATENT APPLICATION

FOR

USER DEMOGRAPHIC PROFILE DRIVEN ADVERTISING TARGETING

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VIA EXPRESS MAIL EM502297228US

BACKGROUND OF THE INVENTION

1. FIELD OF THE INVENTION

5 The present invention relates generally to customizing advertisements transmitted over a computer network. More particularly, the present invention relates to transmitting advertising banners and an agent over the Internet to a target computer and using information collected by the agent running on
10 the target computer to generating new advertising banners.

2. DESCRIPTION OF RELATED ART

15 In recent years, advertising and marketing over computer systems connected to the Internet have become increasingly popular. Many well-known Internet service providers display advertisements along with entertainment, financial and business information available from these services. As more advertisers
20 compete for limited ad space, the cost of placing an ad on these services has become more expensive. Furthermore, the proliferation of advertisements makes it difficult to keep consumers interested in a particular vendor's advertisement. Keeping a consumer's attention such that the consumer remembers
25 the advertisement poses a constant challenge for marketing personnel. Furthermore, the limited bandwidth of transmissions media and the expense of placing an advertisement in highly

visible areas of the Internet makes it desirable to target specific audiences rather than transmit numerous broadcasts to all consumers.

It is thus desirable to customize and target advertisements to particular audiences more likely to be interested in the particular advertisement or to which the advertising particularly pertains. Thus, the following invention discloses a method and apparatus for obtaining consumer information and customizing or targeting advertising content to correspond to the received information.

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SUMMARY OF THE INVENTION

The invention relates to a method and apparatus for targeting advertising content. A content provider generates ad banners.

- 5 The content provider transmits an agent to a target computer. The agent obtains user information and transmits the user information to the content provider. A program running on the content provider organizes the user information and updates a user specific database.

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BRIEF DESCRIPTION OF THE DRAWINGS

The advantages of the present invention will become more readily apparent to those ordinarily skilled in the art after
5 reviewing the following detailed description and accompanying drawings, wherein:

Figure 1 is an overall diagram of an apparatus for performing targeted advertising.

Figure 2 illustrates an example of a typical rule page.

10 Figure 3 is a flowchart illustrating one proposed method of implementing a targeted advertising system.

DETAILED DESCRIPTION OF THE INVENTION

A method and apparatus for targeted advertising is described. Figure 1 illustrates a block diagram of an apparatus for customizing advertising content to a particular user. In figure 1, a content provider 104 transfers data along a communications medium 108 to a plurality of end users or target computers 112, 116, 120. The communications medium 108 may include a variety of communications methods including telephone lines, Integrated Services Digital Network ("ISDN") lines, a predetermined frequency channel and other methods of communicating information. In the preferred embodiment, the source of the data may be an Internet site transmitting data in an Internet format. However, it is contemplated that data may be provided by an Internet service provider or content provider 104 such as American OnLine, Prodigy and CompuServe. A server 124 and router 128 control the flow of information along the communications medium 108.

Advertising software 130, within the content provider 104 establishes a set of databases 132 containing advertising banners and other content for dissemination and transmission to target computers 112, 116, 120. The content directed into and out of database 132 is controlled by rules in a rule book 136. In one embodiment, the rules are records in a database. The control and direction of information by the rule book 136 is based on user profiles 140, 142 and 144. Each user profile, 140, 142, 144 contains information on a corresponding target computer 112, 116, 120. The initial information on a corresponding target computer

may be obtained by an agent which is transmitted to the target computer 112.

In one embodiment, the agent consists of an object code for a control residing on a web page. The control is transmitted with the web page while a dormant object code resides on the server. The web page and the object code for the control are loaded into a cache on the target computer. The object code for the control may be interpreted or executed by the browser as the corresponding web page is loaded. The control object code is not changed unless the dormant object code in the server is changed. The described agent is a system used by Microsoft Corporation of Redmond, Washington in its Active XTM control. In one embodiment, the user profile contains dynamic information on the corresponding target computer forwarded by the agent. The information may include Web sites visited, amount of time spent at a web site, software used, and hardware configuration of the target computer.

Figure 2 illustrates one embodiment of a rule page in the user profile. A rule page can be a file in a Relational Database Management System (RDBMS) or an Object Oriented Database Management System (OODBMS). These databases may contain many rule pages. The entire database will be accessed randomly. In one embodiment, the server caches each rule page on the basis of usage. Each rule page is identified or connected to a particular computer via a rule page identification "ID" number. The rule page itself is divided up according to keys. In the embodiment shown, the keys include a hardware profile, a software profile, a user profile and other profile

information 220. Each key 208 includes information pertaining to the specific target computer or target user associated with the rule page ID 204. For example, the hardware profile 210 may be divided into subkeys 222 which contain information such as the CPU used 224, the amount of RAM available 228 and the CPU clock speed 232. The software profile key 212 may include subkeys 222 containing information such as the most popular software packages used by the target computer in a "top 10 list" 230, and a memory usage subkey 234 including the memory usage disk space occupied by the software being used.

The user profile key 216 includes dynamic information relating to the end user using the target computer. From this information, a profile of the targeted user can be created. Typical information in such a profile may include information on length of time the user has been connected to the Internet 238 and the Internet Web sites frequented by the user 242. Miscellaneous information may be collected in an extra profile information key 220. Information indicating the amount of time spent by the end user on a particular window or screen at a particular Web site may also be collected by the agent and stored. For example, if a user spends more time on basketball articles or a screen depicting basketball related images, the rule book computer will focus transmitted information and advertisements to basketball related activity or create advertisements centered around a basketball theme.

Each subkey 222 may be further subdivided into smaller units of data which further profiles the targeted user. Using

information stored in the user profile, the rule book generates rules specific to the targeted user. These rules may be extracted from the rule book and stored in a user specific rules section 254 of the rule page. These user specific rules enable the creation of a user specific profile for filtering and transmission of advertising banners and information.

Figure 3 is a flowchart illustrating a method of targeting advertisements to a particular user. In step 304, when a user connects to the website, the baseline profile is created. The baseline profile may be created using information from an agent initially transmitted to the target computer upon connection. The detection and maintenance of an already existing account happens on the server. The agent runs on the target computer CPU and determines critical information regarding the target computer to generate the baseline profile. Such a baseline profile may include static or rarely changing information such as information in the hardware profile key 208 and in the software profile key 212. Once a basic baseline profile has been established, the content provider transmits a standard advertising banner to the target computer. Alternatively, the content provider may generate a specific advertising banner for the user using information in the rule book. In the preferred embodiment, the content provider transmits an agent with the advertising banner to the target computer in step 308. The agent may be the same agent which established the initial baseline profile. In the preferred embodiment, this agent is a small program typically on the magnitude of 10 kilobytes, which may be specific to the

advertising banner and is independent of the agent which established the baseline profile using CPU time on the target computer. The agent collects data from the target computer. In one embodiment, the agent utilizes MICROSOFT® ACTIVE X™ technology to collect information from target computer and transmit that collected information back to the content provider in step 312. A description of Active X technology is available at the Microsoft Web site at <http://www.Microsoft.com/intdev/sdk/actlogo.htm>.

In one embodiment of a target computer, a single target computer may communicate with several content providers. In such an embodiment, the target computer may maintain several provider rule pages. Each provider rule page includes information from a corresponding specific provider. Using a target computer rule book in the target computer, the target computer creates static and dynamic profiles of each provider very similar to the profiles maintained by the content providers of the target computers.

Although the described embodiment describes a small agent that runs on the target machine and is transmitted with the ad banner, it is contemplated that other types of agents may be used. General agents, agents which are not associated with a specific ad banner and are transmitted separately from the ad banner may be used. In the preferred embodiment, the agent is not specific to the browser being used by the target computer. Using an agent which is independent from the browser allows a single content provider to obtain information from multiple target computers, even if the multiple computers use different browsers.

A triggering program determines if the data is important in step 316. In one embodiment, the triggering program may be part of the agent program, thus the agent filters information before transmission. In an alternate embodiment, the triggering program is run in the content provider. If the triggering program determines that the data collected by the agent is not important, the content provider returns to step 312 instructing the agent to collect further information.

When the triggering program determines that data is significant, the content provider verifies that an identification code "ID" has been created for the particular user and that a baseline profile has been established in step 320. The baseline profile includes various keys. The received information is matched to a corresponding key in step 320. If a particular key or baseline profile has not been established for the computer which transmitted the information, the appropriate key and/or baseline profile is created and the incoming information integrated into the database in step 324. In step 328, a daemon or munging agent assimilates the agent transmitted information into the user specific rule page. A daemon or munging agent is an application program running on the content provider which assimilates the incoming data by categorizing incoming data and updating the user rule page if the data has changed or inserting new data into appropriate areas of the user rule page. In step 332, the new user rule page is used to generate a modified profile. The modified profile runs through a set of rules in rule

book identifying new content for transmission to the target computer.

The rules in the rule book form the basis for selecting content delivered to the target computer. The rules are typically stored in the rule book in the form of condition-action pairs.

For example, a rule could be - if CPU is greater than or equal to PENTIUM®, then: deliver content from a first database where the first database contains high fidelity content. In one embodiment,

rules can be cascaded to form new rules; for example - if CPU is greater than or equal to Pentium®, and modem is greater than or equal to 28.8 Kbps speed, then provide content from a second database wherein the second database includes graphic content

which requires high processing and transmission rates. The rules or condition action pairs in the rule book may be in the form of Scripts or an interpreted language script such as VB Script which

are capable of performing boolean operations such as comparing data in a rule page with predetermined values. Thus the rules can perform matching of graphic content with target computer

capabilities as well as other characteristics of the target user.

User specific rules also allow for customizing ad banners to take into account dynamic information. For example, if a user spends more than 10 minutes at basketball Web sites, or clicks on many icons associated with basketball, a rule might be developed

if basketball is greater than scale 10, then deliver content from a particular section of a particular database. This section of the database would have basketball related information. In one

embodiment, the information from the database would be used to

fill in voids in a generic ad banner. In an alternative embodiment, the user specific data could be used to create a completely customized ad banner.

A method is also provided to remove old information or to account for changing user interests. Thus, if the end user did not visit basketball Web sites for a significant period of time, the user specific rules could be configured to automatically reduce the scale corresponding to a users interest in basketball relative to a user's other interests.

In step 336, an updated custom ad banner including new content is transmitted to the user or target computer. The ad banner may be designed to best utilize the capability of the user's computer. The content is also specific to interest profiles of the user associated with an account on the ISP or the target computer. In some embodiments, a new accompanying agent may also be transmitted with the new ad banner.

While certain exemplary embodiments have been described and shown in the accompanying drawings, it is to be understood that such embodiments are merely illustrative of and not restrictive on the broad invention, and that this invention not be limited to the specific constructions and arrangements shown and described, since various other modifications may occur to those ordinarily skilled in the art.

What is claimed is:

1. An advertising system comprising:

a content provider which generates ad banners;

a target computer which receives the ad banners;

an agent which is transmitted from the content provider to

the target computer, the agent obtains user information and

transmits the user information to the content provider; and

a program running on the content provider, the program

organizes the user information and updates a user specific

database.

2. The advertising system of claim 1 wherein the agent is

software executed by the target computer.

3. The advertising system of claim 1 further comprising a

baseline user profile which is updated by the program running on

the contact provider.

4. The advertising system of claim 3 wherein the baseline

user profile includes information on Web sites visited.

5. The advertising system of claim 1 wherein the agent

collects information using MICROSOFT® ACTIVE X™.

1 6. The advertising system of claim 4 wherein the baseline
2 user profile includes a subkey indicating time spent at each web
3 site.

1 7. A method of communicating advertising information
2 comprising the steps of:
3 creating a user profile;
4 transmitting an ad banner from a content provider to a target
5 computer;
6 collecting user information at the target computer;
7 transmitting the user information from the target computer to
8 the content provider;
9 filtering the user information to create relevant data;
10 arranging the relevant data to create a modified user
11 specific database; and
12 generating a second user ad banner corresponding to the
13 modified user specific database.

1 8. The method of claim 7 further comprising the step of
2 transmitting an agent from the content provider to the target
3 computer.

1 9. The method of claim 7 wherein the collecting step is
2 executed by an agent running on the target computer.

1 10. The method of claim 8 wherein the agent is transmitted
2 with the ad banner.

1 11. The method of claim 9 wherein the agent is independent
2 of a browser executing on the target computer.

1 12. The method of claim 7 wherein the ad banner is
2 transmitted in an Internet protocol format.

1 13. The method of claim 7 wherein the generating of the
2 second user ad banners involves applying rules which include
3 dynamic information profiling the target computer user.

1 14. A content provider for providing advertising content
2 over a network comprising:
3 a plurality of user profiles, each user profile in the
4 plurality of user profiles including user data corresponding to a
5 target computer account;

6 a munging agent which updates each user profiles based on
7 data transmitted from an agent;
8 a rule set associated with each user profile including rules
9 generated from the user data; and
10 a rulebook which selects data to be transmitted from an
11 advertising content database using the rule set.

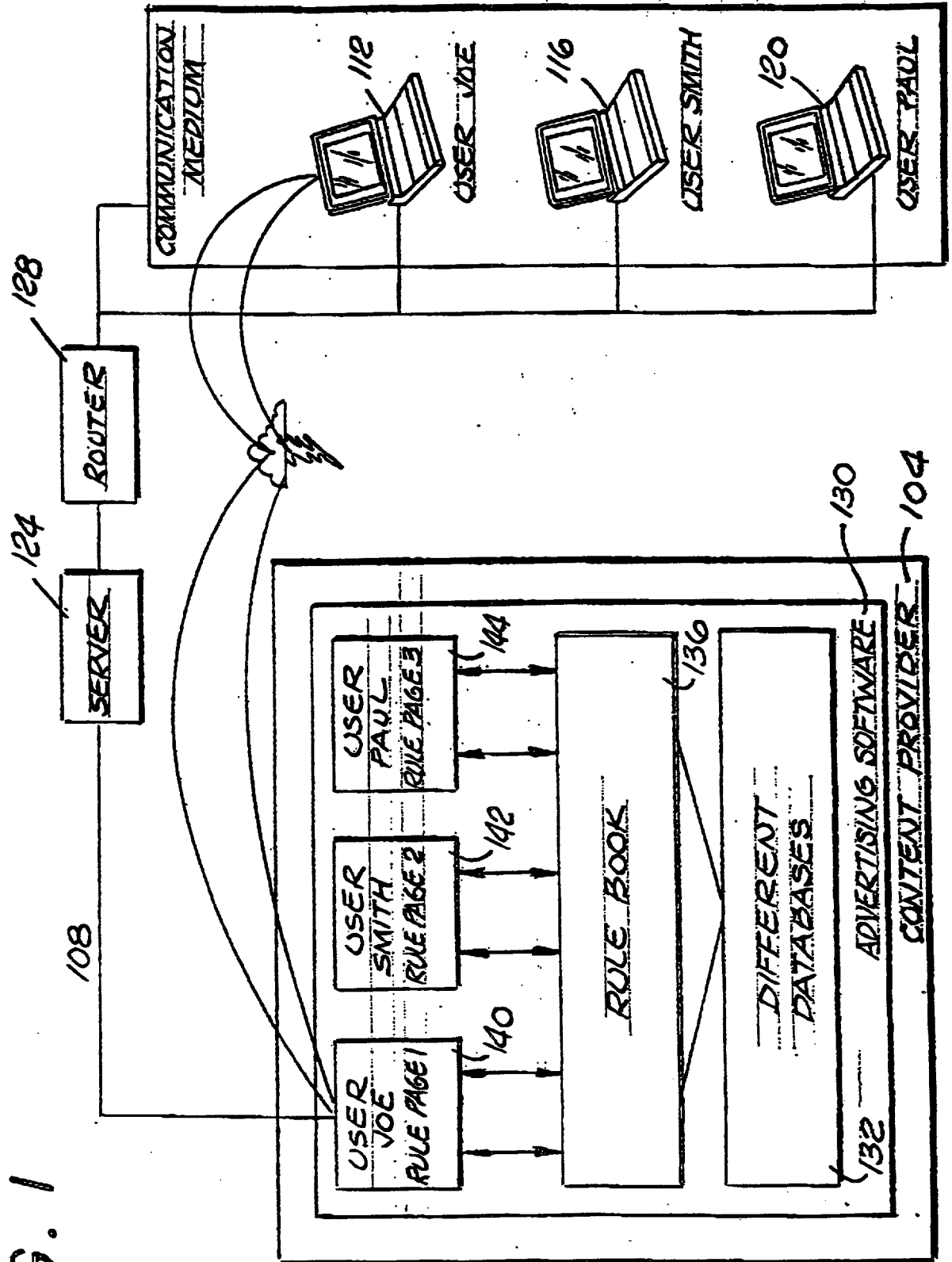
1 15. An advertising system comprising:
2 a content provider which generates a means for advertising;
3 a target computer which receives the means for advertising;
4 a means for obtaining user information and transmitting the
5 user information to the content provider, the means for obtaining
6 user information transmitted from the content provider to the
7 target computer; and
8 a means for organizing the user information and upgrading a
9 user specific database.

ABSTRACT

The invention relates to a method and apparatus for targeting advertising information transmitted over the Internet. The method involves creating a user profile and transmitting an agent and ad banner from a content provider to a target computer. At the target computer, information is collected and transmitted from the target computer to the content provider. The content provider filters the information to create relevant data. The content provider then munges the relevant data into a user specific database and selects new content for transmission over the Internet based on the new user specific database.

2004-06-01

FIG. 1



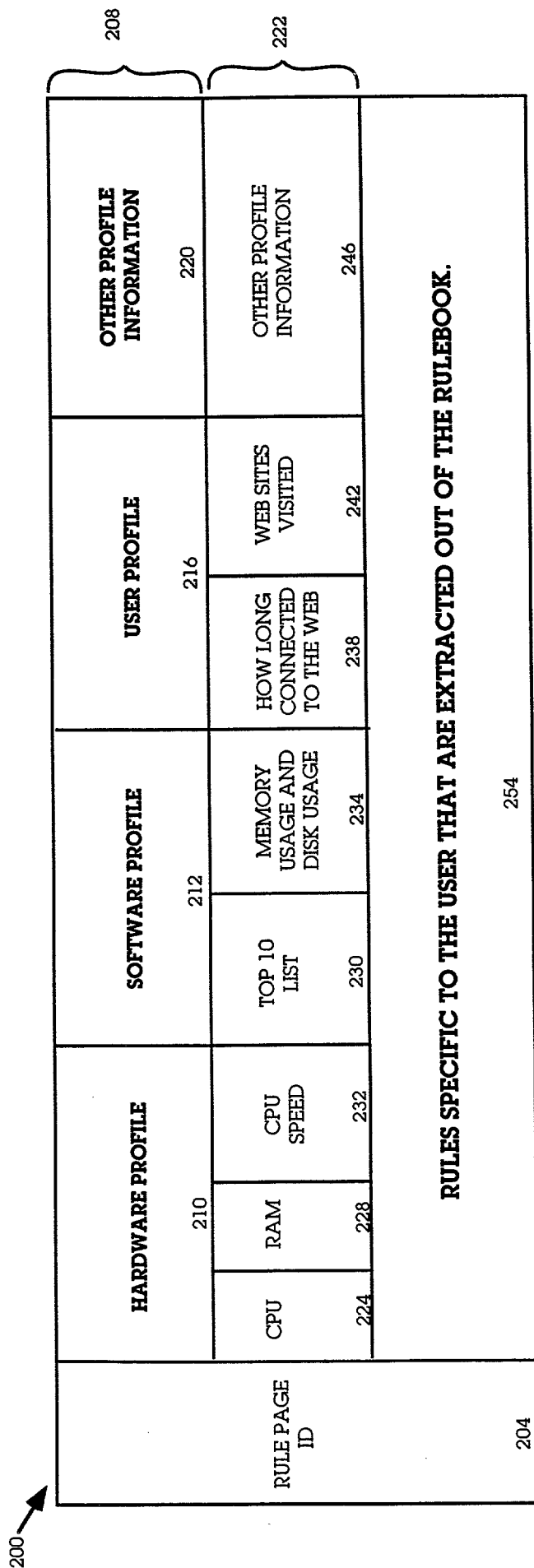


FIGURE 2.

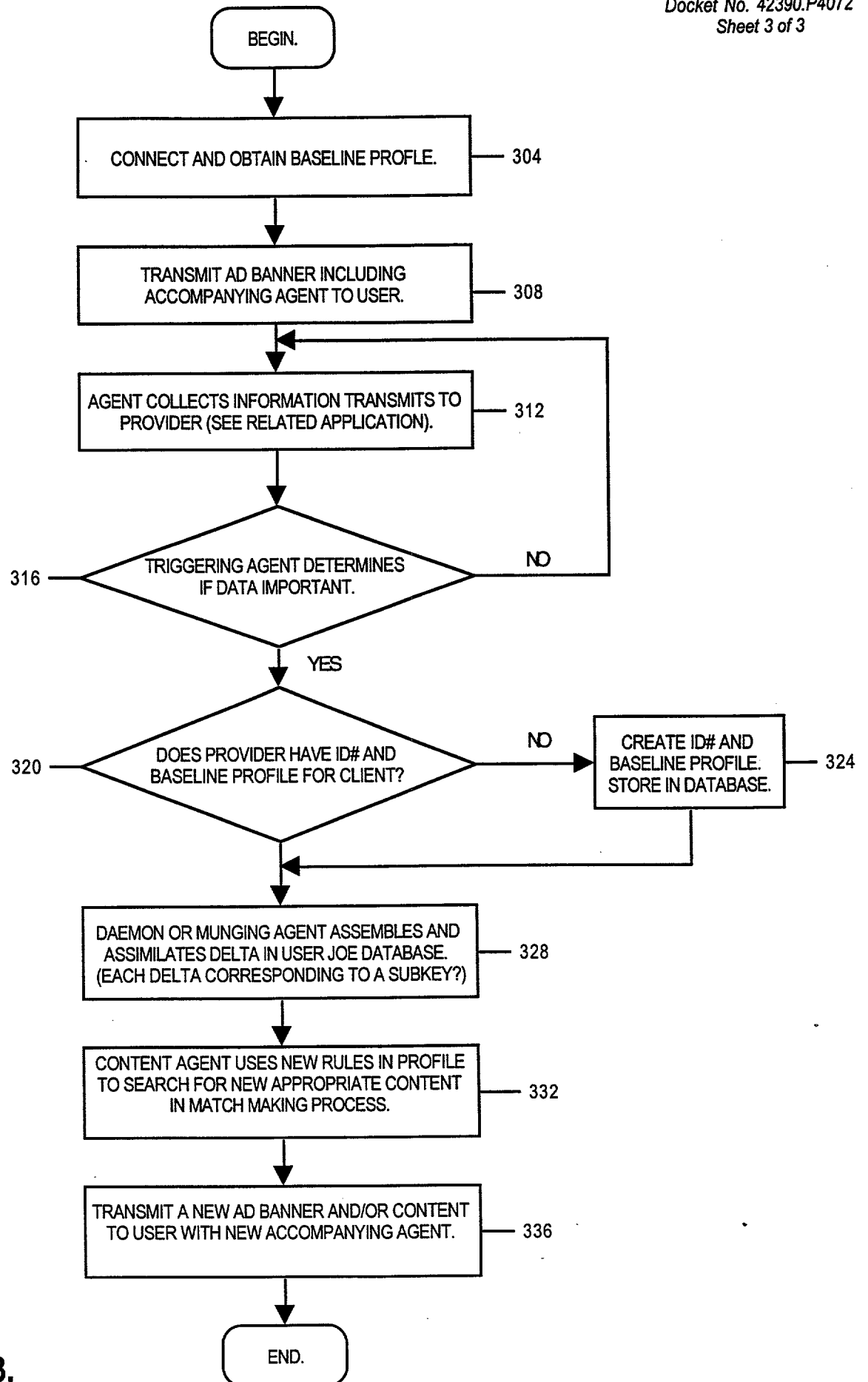


FIGURE 3.

date of this application:

APPLICATION NO.	FILING DATE	STATUS (PATENTED, PENDING, ABANDONED)

I hereby appoint BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, a firm including: Aloysius T. C. AuYeung, Reg. No. 35,432; William Thomas Babbitt, Reg. No. 39,591; Jordan Michael Becker, Reg. No. 39,602; Bradley J. Bereznak, Reg. No. 33,474; Michael A. Bernadidou, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; Gregory D. Caldwell, Reg. No. 39,926; Kent M. Chen, Reg. No. 39,630; Lawrence M. Cho, Reg. No. 39,942; Thomas M. Coester, Reg. No. 39,637; Roland B. Cortes, Reg. No. 39,152; William Donald Davis, Reg. No. 38,428; Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos, Reg. No. 37,813; Rober A. Diehl, Reg. No. P40,992; Tarek Fahmi, Reg. No. P41,402; Karen L. Feisthamel, Reg. No. 40,264; James Y. Go, Reg. No. P40,621; Tarek N. Fahmi, Reg. No. P41,402; David R. Halvorson, Reg. No. 33,395; Eric Ho, Reg. No. 39,711; George W Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; Dag H. Johansen, Reg. No. 36,172; Stephen L. King, Reg. No. 19,180; Dolly M. Lee, Reg. No. 39,742; Michael J. Mallie, Reg. No. 36,591; Kimberley G. Nobles, Reg. No. 38,255; Ronald W. Reagin, Reg. No. 20,340; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Charles E. Shemwell, Reg. No. 40,171; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. Sokoloff, Reg. No. 25,128; Allan T. Sponseller, Reg. No. 38,318; Steven R. Sponseller, Reg. No. 39,384; Edwin H. Taylor, Reg. No. 25,129; Lester J. Vincent, Reg. No. 31,460; John Patrick Ward, Reg. No. 40,216; Ben J. Yorks, Reg. No. 33,609; and Norman Zafman, Reg. No. 26,250; my attorneys; and Robert Andrew Diehl, Reg. No. P40,992; Sharmini Nathan Green, Reg. No. P-41,410; Thomas A. Hassing, Reg. No. 36,159; Edwin A. Sloane, Reg. No. 34,728; and Judith A. Szepesi, Reg. No. 39,393; my patent agents, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (714) 557-3800, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of First/Joint Inventor (given name, family name) PAUL GREER

Inventor's Signature Paul Greer Date 6-20-97

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